

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Steven J. Simske, et al.

Confirmation No.: TBD

Application No.: 10/679,154

Examiner: TBD

Filing Date: 10/03/2003

Group Art Unit: TBD

Title: System and Method of Specifying Image Document Layout Definition

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c) together with either a:
 - ☒ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
 - ☐ Statement under 37 CFR 1.97(e)(1) or (2), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 02/02/2005

OR

☐ I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____ Number of pages: _____

Typed Name: Mayrion A. Washington

Signature: *Mayrion A. Washington*

Respectfully submitted,

Steven J. Simske, et al.

By *James L. Baudino*
James L. Baudino

Attorney/Agent for Applicant(s)
Reg. No. **43,486**

Date: **02/02/2005**

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Seven J. Simske, et al.

Confirmation No.: TBD

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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
 - was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,
Seven J. Simske, et al.

By James L. Baudino
James L. Baudino

Attorney/Agent for Applicant(s)
Reg. No. 43,486

Date: 02/02/2005

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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

100202598-1

APPLICATION NO.

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CONFIRMATION NO.

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APPLICANT

Steven J. Simske, et al.

FILING DATE

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GROUP

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,317,680	05/31/1994	Ditter, Jr.	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Altamura et al, "Transforming paper documents into XML format with WISDOM+ + " [online], 11/7/2000, International Journal on Document Analysis and Recognition. Available from http://www.di.uniba.it/~malerba/publications/ijdar.pdf [Accessed 9/11/2004] (Pages 1-17)
1R	Ford et al, "Ground truth data for document image analysis" [online], 3/24/2003, Communications Engineering Branch; Available from: http://archive.nlm.nih.gov/pubs/ford/groundTruthData/gtd.php [Accessed 9/11/2004] (pages 1-10)
1S	Klink et al, "Document Structure Analysis Based on Layout and Textual Features" [online], 2000 (see http://dbis.uni-trier.de/Mitarbeiter/klink_files/www/Publikationen_en.html). Available from: http://dbis.uni-trier.de/Mitarbeiter/klink_files/www/Postscript/DAS2000-ReviewVersion.pdf [Acc'd 9/11/2004] (16 pages)

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	1L					
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	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	UK Search Report dated November 18, 2004 (4 pages)
1R	
1S	

EXAMINER

DATE CONSIDERED